

Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
YOR920010278U91SERIAL NO.  
09/865,243

APPLICANT Manni et al.

FILING DATE May 25, 2001

GROUP ART UNIT 2177

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NO. | PUBLICATION<br>DATE | INVENTOR NAME     | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|-----------------|---------------------|-------------------|--------------------|----------------|
| AK                  | AA 6,279,011 B1 | Aug. 21, 2001       | Muhlestein        | 707/204            | Jun. 19, 1998  |
|                     | AB 6,266,679 B1 | Jul. 24, 2001       | Szalwinski et al. | 707/204            | Oct. 12, 1999  |
|                     | AC 6,714,952 B2 | Mar. 30, 2004       | Dunham et al.     | 707/204            | Nov. 10, 1999  |
|                     | AD 5,649,205    | Jul. 15, 1997       | Martins           | 395/712            | Jul. 7, 1989   |
|                     | AE 5,729,735    | Mar. 17, 1998       | Meyering          | 395/610            | Feb. 8, 1995   |
|                     | AF 5,991,772    | Nov. 23, 1999       | Doherty et al.    | 707/202            | Oct. 31, 1997  |
|                     | AG 6,088,694    | Jul. 11, 2000       | Burns et al.      | 707/8              | Mar. 31, 1998  |
|                     | AH 6,665,689 B2 | Dec. 16, 2003       | Muhlestein        | 707/204            | May 17, 2001   |
| AK                  | AI 6,453,325 B1 | Sep. 17, 2002       | Cabrera et al.    | 707/204            | Feb. 3, 1997   |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NO. | PUBLICATION<br>DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|---------------------|-----------------|---------------------|---------|--------------------|-----------------------|
| AK                  | AJ WO 00/19337  | Apr. 6, 2000        | WIPO    | G06F 17/30         | X                     |

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

|    |  |
|----|--|
| AK | EMC Data Manager, EDM Symmetri connect, A description of EDM Symmetrix Connect Backup published on November 1998, pp. 1-9. |
| AL | EMC2 White Paper, "Backup Solutions for the Celerra File Server", printed May 15, 2001, pp. 1-8.                           |

## RELATED PATENT APPLICATIONS

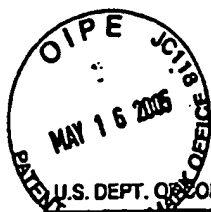
| EXAMINER<br>INITIAL | APPLICATION NO./<br>ATTY. DOCKET NO. | APPLICANT | TITLE | FILING<br>DATE |
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DATE CONSIDERED 1/6/2006

EXAMINER SRIRAMA CHANNARAJALA

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| <b>Form PTO-1449</b><br><br><b>LIST OF PRIOR ART CITED<br/>BY APPLICANT</b><br><i>(Use several sheets if necessary)</i> | <b>ATTORNEY DOCKET NO.</b><br>YOR920010278US1 | <b>SERIAL NO.</b><br>09/865,243 |
|   | <b>APPLICANT</b> Mastrianni et al.            |                                 |
|   | <b>FILING DATE</b> May 25, 2001               | <b>GROUP ART UNIT</b> 2164      |

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NO.    | PUBLICATION<br>DATE | INVENTOR NAME   | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|--------------------|---------------------|-----------------|--------------------|----------------|
| <i>ae</i>           | AA 6,714,952 B2    | Mar. 30, 2004       | Dunham et al.   | 707/204            | Nov. 10, 1999  |
| <i>ae</i>           | AB 2002/0120634 A1 | Aug. 29, 2002       | Min et al.      | 707/200            | Dec. 7, 2000   |
| <i>ae</i>           | AC 2002/011840 A1  | Aug. 15, 2002       | Bagdonas et al. | 705/7              | Feb. 15, 2001  |
| <i>lk</i>           | AD 5,852,747       | Dec. 22, 1998       | Bennett et al.  | 395/860            | Sep. 8, 1995   |
|                     |                    |                     |                 |                    |                |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NO. | PUBLICATION<br>DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
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**OTHER PRIOR ART (including author, title, date, pertinent page, etc.)**

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**RELATED PATENT APPLICATIONS**

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| Form PTO-1449  |                                     | ATTORNEY DOCKET NO.<br>YOR920010278US1   |                       | SERIAL NO.<br>09/865,243    |                       |   |
| LIST OF PRIOR ART CITED<br>BY APPLICANT<br>(Use several sheets if necessary)   |                                     |  |                       | APPLICANT Mastrianni et al. |                       |   |
|  |                                     |  |                       | FILING DATE May 25, 2001    | GROUP ART UNIT 2164   |   |
| U.S. PATENT DOCUMENTS  |                                     |  |                       |                             |                       |   |
| EXAMINER<br>INITIAL  | DOCUMENT<br>NO.                     | PUBLICATION<br>DATE  | INVENTOR NAME         | CLASS/<br>SUBCLASS          | FILING<br>DATE        |   |
| Re   | AA 2004/0236916<br>A1               | Nov. 25, 2004  | Berkowitz et al.      | 711/162                     | Jun. 25, 2004         |   |
|  | AB 2004/0107199<br>A1               | Jun. 3, 2004   | Dalrymple, III et al. | 707/100                     | Jul. 22, 2003         |   |
|  | AC 2005/0021566<br>A1               | Jan. 27, 2005  | Mu                    | 707/200                     | May 28, 2004          |   |
|  | AD 2004/0193953<br>A1               | Sep. 30, 2004  | Callahan et al.       | 714/15                      | Feb. 21, 2003         |   |
|  | AE 6,341,341 B1                     | Jan. 22, 2002  | Grummon et al.        | 711/162                     | Dec. 16, 1999         |   |
|  | AF 6,330,570 B1                     | Dec. 11, 2001  | Crighton              | 707/204                     | Feb. 6, 1999          |   |
| Re   | AG 6,513,051 B1                     | Jan. 28, 2003  | Bolosky et al.        | 707/204                     | Jul. 16, 1999         |   |
| FOREIGN PATENT DOCUMENTS   |                                     |  |                       |                             |                       |   |
| EXAMINER<br>INITIAL  | DOCUMENT<br>NO.                     | PUBLICATION<br>DATE  | COUNTRY               | CLASS/<br>SUBCLASS          | TRANSLATION<br>YES NO |   |
| Re   | AH EP0566964B1                      | Aug. 6, 1997   | EPO                   | G06F 11/14                  |                       | X |
| Re   | AI EP1484680A1                      | Dec. 8, 2004   | EPO                   | G06F 11/14                  |                       | x |
| Re   | AJ WO 99/66401                      | Dec. 23, 1999  | WIPO                  | G06F 11/14                  |                       | x |
| OTHER PRIOR ART (Including author, title, date, pertinent page, etc.)  |                                     |  |                       |                             |                       |   |
| Re   | AK                                  | Chervenak et al., "Protecting File Systems: A Survey of Backup Techniques (1998)", CiteSeer, pp. 1-15. |                       |                             |                       |   |
| RELATED PATENT APPLICATIONS  |                                     |  |                       |                             |                       |   |
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